

VIRTUAL CONGRESS

IFCA INTERNATIONAL COMPLIANCE CONGRESS

**COMPLIANCE** IN AN ERA OF GLOBAL **COMPLEXITY**

4-6 OCTOBER 2022



## CONGRESS PROGRAMME

### Day 1: 4 October 2022

UTC: 08:00 – 13:20

Core of Compliance – getting the foundation right			
08:00	15 min	Host	<b>Welcome and congress day 1 opening</b> <i>Jeremy Maggs - IFCA Congress Host</i>
08:15	15 min	Speaker	<b>Opening address   IFCA Chair</b> <i>Rianne Potgieter - IFCA Chair   CEO, Compliance Institute of Southern Africa</i>
08:30	60 min	Theme	<b>COMPLEXITY</b>
	45 min	Speaker	<b>The Complexity theory and how we can use this to adapt our mindsets to enable innovative solutions and organisational culture change initiatives</b> <i>Sonja Blignaut – Founder, More Beyond</i>
	15 min	Interview	<b>On the Couch with the IFCA Chair: Taking complexity further</b> <i>Rianne Potgieter - IFCA Chair   CEO, Compliance Institute of Southern Africa</i> <i>Sonja Blignaut – Founder, More Beyond</i>
09:30	60 min	Theme	<b>COMPLIANCE RETURN ON INVESTMENT</b>
	30 min	Speaker	<b>The journey of compliance – essential business frameworks for executing your business strategy</b> <i>Johnny Frank – Partner, StoneTurn</i> <ul style="list-style-type: none"><li>• Compliance return on investment – how do we measure compliance investment and value proposition</li><li>• Case studies - are you measuring your return on investment</li><li>• Unpack the difference between KPI's and KRI's</li></ul>
	30 min	Panel	<b>A global view: Compliance return on investment – how do we measure compliance investment and value proposition?</b> <i>Moderator: Michael Kavanagh – CEO, Compliance Institute</i> <i>Panellists:</i> <i>Pilar López-Aranguren - Head of Control and Compliance, Goldman Sachs   Deputy Secretary, IC-A</i> <i>Pattison Boleigha – President, Compliance Institute</i>
10:30	30 min	Break	
11:00	60 min	Theme	<b>COMPLIANCE APPROACH – THE EVER-CHANGING PROFESSION</b>
	30 min	Speaker	<b>Working smarter, not harder in times of complexity: Compliance risk assessments, data, the evolution of monitoring and modern compliance and strategic support</b> <i>Anton Yakunchikov - Head of Compliance, Bank DOM.RF (JSC)</i>

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	30 min	Panel	<p><b>Who does what and why is that important?</b> <b>The modern 3 lines of defence/accountability. Execution, assurance, reporting and governance across the three lines</b></p> <ul style="list-style-type: none"><li>• Working smarter, not harder in times of complexity: Compliance risk assessments, data, the evolution of monitoring and modern compliance and strategic support</li><li>• Compliance Officers and the demand for specialization</li><li>• Risk-based approach in compliance management: how to mitigate compliance-risks' impact on a company's objectives</li><li>• Non-Financial Risk Management in an age of global complexity - levelling up the practice and value of risk assessments and controls</li><li>• The volume of new legislative requirements</li></ul> <p><b>Moderator:</b> <b>Naomi Burley</b> – CEO, GRC Institute</p> <p><b>Panellists:</b> <b>Solomon Abiakalam</b> - Group Director, Compliance, Conduct &amp; Financial Crime Risk Equity Bank Ltd <b>Kian Caulwell</b> - Partner and Head of Financial Services Consulting, Mazars <b>Pia de la Cuesta Diz</b> - Regional Compliance Officer: Europe, Africa &amp; Airport Solutions, TK Elevator GmbH</p>
12:00	60 min	Theme	<b>TECHNOLOGY AND COMPLIANCE</b>
	30 min	Speaker	<p><b>A case study: Using Artificial Intelligence (AI) and Machine Learning (ML) to monitor compliance in an organisation</b> <b>Hamish Macalister</b> - Chief Executive Officer and Founder, Transparently.AI</p>
	30 min	Speaker	<p><b>Compliance and new technologies – how to prepare</b></p> <ul style="list-style-type: none"><li>• A strategic approach to RegTech</li><li>• Case study: Using Artificial Intelligence (AI) and Machine Learning (ML) to monitor compliance in an organisation</li><li>• Compliance and new technologies - how to prepare</li></ul> <p><b>Samantha Padayachee</b> - Managing Executive: Group Compliance, Vodacom</p>
13:00	20 min	Close	<b>CONCLUDING DAY ONE OF CONGRESS</b>
	15 min	Interview	<p><b>On the Couch with IFCA - Summary and Closing thoughts from Day 1</b> <b>Jeremy Maggs</b> - IFCA Congress Host <b>Pattison Boleigha</b> – President, Compliance Institute</p>
	5 min	Host	<p><b>Final thoughts</b> <b>Jeremy Maggs</b> - IFCA Congress Host</p>
13:20			<b>CLOSE</b>

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## CONGRESS PROGRAMME

### Day 2: 5 October 2022

UTC: 03:00 – 08:10

<i>Fulfilling your promises: Social licence, ESG and doing business in a global environment</i>			
03:00	10 min	Host	<b>Welcome and congress day 2 opening</b> <i>Jeremy Maggs - IFCA Congress Host</i>
03:10	10 min	Host	<b>Congress day 2 opening</b> <i>Naomi Burley - CEO, GRC Institute   IFCA Vice Chair</i>
03:20	60 min	Theme	<b>DIVERSITY, CULTURE &amp; INCLUSION</b>
	30 min	Speaker	<b>Sustainability &amp; Leadership – A humanitarian perspective</b> <i>María de las Mercedes Archimbal - Chief Compliance Officer, YPF SA</i>
	30 min	Speaker	<b>Diversity, equity, and inclusion. A practical approach for compliance professionals.</b> <i>Pablo Giordano - Trade Compliance Manager for South America, Stanley Black and Decker</i>
04:20	60 min	Theme	<b>CORRUPTION</b>
	30 min	Speaker	<b>Ensuring compliance in public procurement and work. Promote advanced transparency regulations and collective actions</b> <i>Fernando Basch - Corporate Compliance, Investigations, and Business Crime Partner, Bruchou &amp; Funes de Rioja</i>
	30 min	Interview	<b>Anti-Bribery &amp; Corruption – an internal or external issue? The pressures on individuals to break the law and how to combat this</b>
05:20	30 min	Break	
05:50	60 min	Theme	<b>ENVIRONMENTAL SOCIAL GOVERNANCE</b>
	30 min	Speaker	<b>A pulse point check on ESG globally – what it is where, what is its purpose, why it's important that your CMS and risk is part of the equation: ESG, sustainability &amp; climate risk– what role does compliance play in this</b> <i>Dr Stephanie Bertels - Beedie School of Business, Simon Fraser University &amp; Embedding Project Director, Centre for Corporate Governance and Sustainability</i>

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30 min	Panel	<p><b>Keeping your foot on the gas of global compliance and ESG programs – don't get distracted by complexity</b></p> <ul style="list-style-type: none"><li>• Overregulation for organizations as an obstacle for ESG and greenwashing</li><li>• ESG - The real issues behind the catch phrase - human rights, wild animal trafficking, modern slavery, and the intersection of doing business</li><li>• Why we need whistle blowers: directives, protections, and their essential role</li></ul> <p><b>Moderator: Steve Pegg</b> – Manager, Lockheed Martin International <b>Panellists:</b> <b>Lizet Steyn</b> - Independent ESG Consultant <b>Eilís Griffin</b> - Compliance &amp; Governance Coach (Consultant, Lecturer, Trainer) <b>José Luis Fernández Fernández</b> - Cátedra Iberdrola de Ética Económica y Empresarial, Iberdrola Chair in Economic and Business Ethics Director</p>	
06:50	60 min	<b>Theme</b>	<b>CONDUCT RISK &amp; ETHICS</b>
		Speaker	<p><b>Values, trust, reputation, human behaviour, and conduct risk: no longer a question of ethics – how does your organisation deliver on its promises?</b> <b>Paul Coady</b> - Global Head of Anti-Financial Crime (AFC) Education &amp; Culture, Deutsche Bank</p>
07:50	20 min	<b>Close</b>	<b>CONCLUDING DAY TWO OF CONGRESS</b>
	15 min	Interview	<p><b>On the Couch with IFCA - Summary and Closing thoughts from Day 2</b> <b>Michael Kavanagh</b> – CEO, Compliance Institute</p>
	5 min	Host	<p><b>Final thoughts</b> <b>Jeremy Maggs</b>- IFCA Congress Host</p>
08:10			<b>CLOSE</b>

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## CONGRESS PROGRAMME

### Day 3: 6 October 2022

UTC: 12:00 – 17:30

#### Specialised compliance and global challenges

12:00	10 min	Host	<b>Welcome and congress day 3 opening</b> <i>Jeremy Maggs - Congress Host</i>
	10 min	Host	<b>Congress day 3 opening</b> <i>Pat Harned - Chief Executive Officer, Ethics &amp; Compliance Initiative</i>
12:20	60 min	Theme	<b>BUSINESS TRENDS</b>
	30 min	Speaker	<b>Technology, money, and external threats: Fintech compliance, crypto currency, NFTs and the compliance conversation in the metaverse</b> <i>Nerushka Bowen - Emerging Technology Law Specialist, Legal Technology Innovator, and International Speaker, Norton Rose Fulbright</i>
	30 min	Panel	<b>Cybersecurity, blockchain, crypto currency and metaverse – what it means for Compliance</b> <i>Moderator: Andrew Quinn – Director, PAT Fintech</i> <i>Panellists:</i> <i>Pablo Navarro - Cyber Security Professional</i> <i>Loretta Joseph - Global regulatory advisor, AP Capital</i> <i>José Manuel Arnaiz - Co-founder &amp; CEO, SeaCoast</i>
13:20	60 min	Theme	<b>GLOBAL REGULATORY TRENDS</b>
	30 min	Speaker	<b>The regulatory challenge: Regulators globally and the conscience and enforcer – can they meet this brief and is it possible to have a constructive relationship with corporate compliance professionals</b> <i>Carole Ferguson - Independent member Audit Risk and Improvement Committee, City of Canterbury Bankstown</i>
	30 min	Panel	<ul style="list-style-type: none"><li>• The new Individual Accountability Framework regime</li><li>• Regulatory maturity and resourcing.</li><li>• how can compliance professionals help make regulation effective - enhancing our advocacy as a profession?</li><li>• Supervisory Technology</li></ul> <i>Moderator: Eugene Du Toit - Head of Regulatory Frameworks Department, F</i> <i>Panellists:</i> <i>Jane Aihevba - Deputy Director, Central Bank of Nigeria</i> <i>Juan Armendáriz - Global Head of Internal Audit, Allfunds Bank</i> <i>Gary Muchmore - Chief Executive, Redland Business Solutions</i>
14:20	30 min	Break	



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14:50	60 min	Theme	<b>ANTI-FINANCIAL CRIME</b>
	30 min	Speaker	<b>Case Study - What are the latest emerging typologies?</b> <i>Lynn Davidson - Head: Risk and Compliance, Converge Solutions</i>
	30 min	Panel	<b>A global view on sanctions</b> <b>Moderator: Naomi Burley</b> – CEO, GRC Institute <b>Panellists:</b> <b>Abdulasheed Bawa</b> – Chairman, Economic And Financial Crimes Commission (EFCC) <b>Andrew Ham</b> – Principal, Hunt & Hunt Lawyers
15:50	60 min	Theme	<b>CYBER SECURITY &amp; DATA PRIVACY</b>
	30 min	Speaker	<b>GDPR update and challenges - Is GDPR the global gold standard? and the practical implementation of data privacy law(s)</b>  <b>Flavien Corolleur</b> - Senior Legal Counsel/Director and Data Protection Officer, SS&C Financial Services
	30 min	Speaker	<b>HACKED - have you been hacked?</b> Learn from a successful hacker who shares how all organisations are vulnerable and what must be done to ensure that you are not a cybercrime easy target. Compliance professionals must ensure that their organisations comply to cyber policies, or they could be next!  <i>Tim Gilman - Cyber Security Professional</i>
16:50	30 min	Close	<b>CONCLUDING DAY 3 OF CONGRESS</b>
	15 min		<b>On the Couch with IFCA - Summary and Closing thoughts from Day 3</b> <b>Facilitator:</b> <i>Jeremy Maggs - Congress Host</i> <i>Gustavo Regner - Partner Forensic &amp; Dispute Resolution Services</i>
17:05	15 min	Speaker	<b>Closing address</b> <i>Rianne Potgieter - IFCA Chair   CEO, Compliance Institute of Southern Africa</i>
17:20	10 min	Host	<b>Wrap up and close of Congress</b> <b>Jeremy Maggs</b> - Congress Host
			<b>CLOSE</b>

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### TIME ZONE QUICK VIEW

<b>Day 1</b> <b>4 October 2022</b>	<b>Day 2</b> <b>5 October 2022</b>	<b>Day 3</b> <b>6 October 2022</b>
	<b>UTC</b> 03:00 – 08:10	
	<b>AEST (Sydney)</b> 13:00 – 18:10	
<b>UTC</b> 08:00 – 13:20		
<b>BDT (London)</b> 09:00 – 14:20		
		<b>UTC</b> 12:00 – 17:20
		<b>EDT (Washington DC)</b> 08:00 – 13:20

UTC – Coordinated Universal Time

BDT – British Daylight Time (UTC +1)

AEST – Australian Eastern Standard Time (UTC +10)

EDT – Eastern Daylight Time (UTC -4)

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UTC

**Tuesday  
4 October 2022**

08:00	Welcome and congress day 1 opening
08:15	Opening address   IFCA Chair
08:30	<b>LIVE</b>
08:45	<b>SESSION 1-1: COMPLEXITY</b> <i>08:30 - 09:15</i>
09:00	Complexity theory and how we can use this to adapt our mindsets to enable innovative solutions and organisational culture change initiatives <i>09:15 - 09:30</i>
09:15	On the Couch with the IFCA President: Taking complexity further
09:30	<b>LIVE</b>
09:45	<b>SESSION 1-2: COMPLIANCE RETURN ON INVESTMENT</b> <i>09:30 - 10:00</i>
10:00	The journey of compliance – essential business frameworks for executing your business strategy <i>10:00 - 10:30</i>
10:15	A global view: Compliance return on investment – how do we measure compliance investment and value proposition?
10:30	<b>BREAK</b>
10:45	
11:00	<b>LIVE</b>
11:15	<b>SESSION 1-3: COMPLIANCE APPROACH – THE EVER-CHANGING PROFESSION</b> <i>11:00 - 11:30</i>
11:30	Working smarter, not harder in times of complexity: Compliance risk assessments, data, the evolution of monitoring and modern compliance and strategic support <i>11:30 - 12:00</i>
11:45	Who does what and why is that important? The modern 3 lines of defence/accountability. Execution, assurance, reporting and governance across the three lines
12:00	<b>LIVE</b>
12:15	<b>SESSION 1-4: TECHNOLOGY AND COMPLIANCE</b> <i>12:00 - 12:30</i>
12:30	A case study: Using Artificial Intelligence (AI) and Machine Learning (ML) to monitor compliance in an organisation <i>12:30 - 13:00</i>
12:45	Compliance and new technologies – how to prepare
13:00	On the Couch with IFCA - Summary and thoughts from Day 1
13:15	Day 1 closing remarks
13:30	<b>RE-BROADCAST</b>
13:45	<b>SESSION 1-1: COMPLEXITY</b> <i>08:30 - 09:15</i>
14:00	Complexity theory and how we can use this to adapt our mindsets to enable innovative solutions and organisational culture change initiatives <i>09:15 - 09:30</i>
14:15	On the Couch with the IFCA President: Taking complexity further
14:30	<b>RE-BROADCAST</b>
14:45	<b>SESSION 1-2: COMPLIANCE RETURN ON INVESTMENT</b> <i>09:30 - 10:00</i>
15:00	The journey of compliance – essential business frameworks for executing your business strategy <i>10:00 - 10:30</i>
15:15	A global view: Compliance return on investment – how do we measure compliance investment and value proposition?
15:30	<b>RE-BROADCAST</b>
15:45	<b>SESSION 1-3: COMPLIANCE APPROACH – THE EVER-CHANGING PROFESSION</b> <i>11:00 - 11:30</i>
16:00	Working smarter, not harder in times of complexity: Compliance risk assessments, data, the evolution of monitoring and modern compliance and strategic support <i>11:30 - 12:00</i>
16:15	Who does what and why is that important? The modern 3 lines of defence/accountability. Execution, assurance, reporting and governance across the three lines



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**Wednesday  
5 October 2022**

03:00	Welcome and congress day 2 opening
03:15	Day 2 Opening address   IFCA Deputy Chair
03:30	<b>LIVE</b>
03:45	<b>SESSION 2-1: DIVERSITY, CULTURE &amp; INCLUSION</b> <i>03:25 - 03:55</i>
04:00	Sustainability & Leadership – A humanitarian perspective <i>03:55 - 04:25</i>
04:15	Diversity, equity, and inclusion. A practical approach for compliance professionals
04:30	<b>LIVE</b>
04:45	<b>SESSION 2-2: CORRUPTION</b> <i>04:25 - 04:55</i>
05:00	Ensuring compliance in public procurement and work. Promote advanced transparency regulations and collective actions <i>04:55 - 05:25</i>
05:15	Anti-Bribery & Corruption – an internal or external issue? The pressures on individuals to break the law and how to combat this
05:30	<b>BREAK</b>
05:45	
06:00	<b>LIVE</b>
06:15	<b>SESSION 2-3: ENVIRONMENTAL SOCIAL GOVERNANCE</b> <i>05:55 - 06:25</i>
06:30	A pulse point check on ESG globally – why it's important that your CMS and risk is part of the equation – what role does compliance play in this <i>06:25 - 06:55</i>
06:45	Keeping your foot on the gas of global compliance and ESG programs – don't get distracted by complexity
07:00	<b>LIVE</b>
07:15	<b>SESSION 2-4: CONDUCT RISK &amp; ETHICS</b> <i>06:55 - 07:55</i>
07:30	Values, trust, reputation, human behaviour, and conduct risk: no longer a question of ethics – how does your organisation deliver on its promises?
07:45	
08:00	On the Couch with IFCA - Summary and thoughts from Day 2
08:15	Day 2 closing remarks
08:30	<b>RE-BROADCAST</b>
08:45	<b>SESSION 2-1: DIVERSITY, CULTURE &amp; INCLUSION</b> <i>03:25 - 03:55</i>
09:00	Sustainability & Leadership – A humanitarian perspective <i>03:55 - 04:25</i>
09:15	Diversity, equity, and inclusion. A practical approach for compliance professionals
09:30	<b>RE-BROADCAST</b>
09:45	<b>SESSION 2-2: CORRUPTION</b> <i>04:25 - 04:55</i>
10:00	Ensuring compliance in public procurement and work. Promote advanced transparency regulations and collective actions <i>04:55 - 05:25</i>
10:15	Anti-Bribery & Corruption – an internal or external issue? The pressures on individuals to break the law and how to combat this
10:30	<b>RE-BROADCAST</b>
10:45	<b>SESSION 2-3: ENVIRONMENTAL SOCIAL GOVERNANCE</b> <i>05:55 - 06:25</i>
11:00	A pulse point check on ESG globally – why it's important that your CMS and risk is part of the equation – what role does compliance play in this <i>06:25 - 06:55</i>
11:15	Keeping your foot on the gas of global compliance and ESG programs – don't get distracted by complexity

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**Thursday  
6 October 2022**

10:00	<b>RE-BROADCAST</b>
10:15	<b>SESSION 1-4: TECHNOLOGY AND COMPLIANCE</b> <i>12:00 - 12:30</i>
10:30	A case study: Using Artificial Intelligence (AI) and Machine Learning (ML) to monitor compliance in an organisation <i>12:30 - 13:00</i>
10:45	Compliance and new technologies – how to prepare
11:00	
11:15	<b>RE-BROADCAST</b>
11:30	<b>SESSION 2-4: CONDUCT RISK &amp; ETHICS</b> <i>06:55 - 07:55</i>
11:45	Values, trust, reputation, human behaviour, and conduct risk: no longer a question of ethics – how does your organisation deliver on its promises?
12:00	<b>Welcome and congress day 3 opening</b>
12:15	<b>Day 3 Opening address   IFCA Committee</b>
12:30	
12:45	<b>SESSION 3-1: BUSINESS TRENDS</b> <i>12:25 - 12:55</i>
13:00	Technology, money, and external threats: Fintech compliance, crypto currency, NFTs and the compliance conversation in the metaverse <i>12:55 - 13:25</i>
13:15	Cybersecurity, blockchain, crypto currency and metaverse – what it means for Compliance
13:30	
13:45	<b>SESSION 3-2: GLOBAL REGULATORY TRENDS</b> <i>13:25 - 13:55</i>
14:00	The regulatory challenge: Regulators globally and the conscience and enforcer – can they meet this brief and is it possible to have a constructive relationship with corporate compliance professionals <i>13:55 - 14:25</i>
14:15	New Individual Accountability Framework regime; Regulatory maturity and resourcing; Enhancing our advocacy as a profession; Supervisory Technology
14:30	
14:45	<b>BREAK</b>
15:00	
15:15	<b>SESSION 3-3: ANTI-FINANCIAL CRIME</b> <i>14:55 - 15:25</i>
15:30	Financial crime compliance; Emerging typologies; Money Laundering Prevention Acts: How effective has they been?; Cryptocurrency <i>15:25 - 15:55</i>
15:45	A global view on sanctions
16:00	
16:15	<b>SESSION 3-4: CYBER SECURITY &amp; DATA PRIVACY</b> <i>15:55 - 16:25</i>
16:30	Cyber security, data privacy - the global perspective: Personal data as currency - how can compliance combat these threats? <i>16:25 - 16:55</i>
16:45	HACKED - what now?
17:00	<b>On the Couch with IFCA - Summary and thoughts from Day 1</b>
17:15	<b>Closing address   IFCA Chair</b>
17:30	<b>Wrap up and close of Congress</b>

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